Lattice-Dynamical Evaluation of Temperature Factors for Aromatic Hydrocarbons, Including Internal Molecular Motion: A Straightforward Systematic Procedure

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Abstract

As a part of a systematic project of evaluating temperature factors for molecular crystals in a harmonic approximation, following the Born-von Karman procedure, application to essentially 'rigid' aromatic hydrocarbons has been reconsidered. In this approximation, where 'rigidity' just implies non-mixing between internal vibrational modes and external ('lattice') modes, contributions of the former to the temperature factors of the various atoms have been added to the corresponding contributions of the latter modes. Following Gwinn's method for normal coordinate analysis in the molecule, and Pawley's method for calculating the lattice modes, it is possible to write highly efficient routines, so that practical application to the various molecules becomes easy and straightforward, the final result being reached in a quite reasonable computing time, with a 'usual' crystallographic input. For intermolecular interactions, Williams IVa potentials have been used, and a Califano-Neto harmonic force field has been adopted for calculating in-plane internal vibration modes; a suitable value for torsional force constants has been assigned for calculating the outof-plane modes. For these calculations, which have been carried out on benzene, naphthalene $(h_8 \text{ and } d_8)$, anthracene, phenanthrene and pyrene as examples, the relative importance of intramolecular contribution can be seen in all these compounds, with respect, for instance, to the ring members (here only carbon atoms), or to the peripheral members (here only hydrogen atoms). Because of TDS influence upon the experimental results, the agreement with the observed B's is generally less good than for simpler models, where the internal modes are neglected. For H atoms, the calculated internal contributions to the B's are too high in the case of benzene and naphthalene, and about right for pyrene. The situation is discussed in terms of the effect of packing upon internal modes, and relative non-rigidity of pyrene with respect to smaller molecules.

Introduction

In recent years, an ever-growing interest is being given to temperature factors of molecular crystals. Whereas fitting to rigid-body or similar models and possible evaluation of bond-length corrections has been considered for a long time (see, for instance, Cruickshank, *1956a,b,c,* 1961; Busing & Levy, 1957, 1964; Schomaker & Trueblood, 1968; Johnson, 1969, 1970a,b, 1980; Willis & Pawley, 1970; Pawley & Willis, 1970; Pawley, 1970; Prince & Finger, 1973), until quite recently comparatively little effort was made in deducing further useful information. Similarly, little comparison of these experimental data with theoretical estimates had been published, until the appearance of the 'classical' works by Cochran & Pawley (1964) and Pawley (1967, 1968, 1972). Here, the rigid-body vibration tensors were calculated starting from semiempirical atom-atom potentials, through a Born-von Karman lattice-dynamical procedure, and practical application to compounds such as hexamethylenetetramine, naphthalene, and anthracene were given as examples. Similarly, theoretical derivation of these quantities from lattice dynamics, including an approximative method for evaluating internal-mode contributions, has been thoroughly examined by Scheringer *(1972a,b,c);* a series of systematic calculations on several 'rigid' molecular substances, and comparison with experimental results has been reported by various authors (Gramaccioli, Simonetta & Suffritti, 1973; Cerrini & Pawley, 1973; Filippini, Gramaccioli, Simonetta & Suffritti, 1973, 1974a,b, 1976a,b, 1978; Willis & Howard, 1975; Kroon & Vos, 1979; Filippini, Gramaccioli & Simonetta, 1981). These systematic calculations showed the possibility of simplifying the computing routines considerably, *e.g.* by scanning the

Brillouin zone at uneven intervals. Moreover, the agreement between observed and calculated values was substantially good; this supported not only the validity of such theoretical estimates, but also the essential 'rightness' of the experimental data, which up to then were often disregarded by most crystallographers.

At the same time, determination of mean-square displacement amplitudes in several molecules was being developed by spectroscopists. A good review of this is given especially in books by Cyvin (1968, 1972); Cyvin himself is co-author of several papers dealing with this subject *(e.g.* Whitmer, Cyvin & Cyvin, 1978; Bakke, Cyvin, Whitmer, Cyvin, Gustavsen & Klaeboe, 1979; Cyvin, Cyvin, Brunvoll, Whitmer, Klaeboe & Gustavsen, 1979). Application of these spectroscopic routines in crystals, especially concerning the FG method, was considered by Scheringer and his group (Scheringer & Fadini, 1979; Ishii & Scheringer, 1979). Quite recently, the importance of determining temperature factors as a probe for structural dynamics of biologically important molecules like proteins has been illustrated (Frauenfelder, Petsko & Tsernoglou, 1979; Artymiuk, Blake, Grace, Oatley, Phillips & Sternberg, 1979). Besides biological application, an accurate evaluation of thermal vibration tensors becomes essential for accurate electron-density determination, bond-length correction, and also for checking the 'goodness' of semi-empirical potentials, or of a certain structural model, over the entire Brillouin zone.

Method of ealeulation

For essentially 'rigid' molecules, *i.e.* where separation is assumed between internal (molecular) and external (lattice) modes, the calculated B's can be considered to be the sum of internal and external contributions. For the latter, the method here used is essentially the same as we adopted in our former works, *i.e.* evaluation of temperature factors *via* the rigid-body tensors T, L, S, as they derive from a Born-von Karman latticedynamical treatment (Pawley 1967, 1968; Filippini, Gramaccioli, Simonetta & Suffritti, 1973). Apart from a different evaluation of self-self terms, the essential difference between Pawley's original procedure and ours is a different sampling of the Brillouin zone, which in our case is made at uneven intervals (Filippini, Gramaccioli, Simonetta & Suffritti, 1976a; from this paper, the sequence reported as C' has been adopted, with a net comprising four points per reciprocal axis).

For the internal modes, we followed the normal coordinate analysis, according to Gwinn's (1971) method. This method has been used and recommended also by Stolevik, Seip & Cyvin (1972) for evaluating mean-square amplitudes. It has the advantage over the traditional FG method of avoiding construction of symmetry coordinates and search for redundancy relationships. Moreover, the treatment is essentially similar to the usual lattice-dynamical one, thereby providing a parallel procedure, which is quite useful when the same set of programs is used for both kinds of calculations. Moreover, this way affords comparatively easy extension to cases where mixed internal-external modes are present *(i.e.* non-rigid molecules), and such a possibility is being actively considered by us.

In this procedure, we first build the F matrix, where $F_{ij} = \frac{\partial^2 V}{\partial \xi_i \partial \xi_j}$. Here, ξ_i and ξ_j are internal coordinates in terms of variation of all bond lengths, angles, torsion angles, *etc.* in the molecule, regardless of their number, which is usually redundant with respect to the vibrational degrees of freedom. Then F is referred to mass-weighted atomic coordinates through the transformation: $M = S^TFS$. Here, S is a rectangular matrix, where $S_{ii} = \partial \xi_i / \partial x'_i$, where x'_i is the mass-weighted coordinate of a certain atom. Owing to the considerable dimensions of the involved matrices, this multiplication implies a non-negligible computing time, and also necessary space in the memory. Since most elements of F and S are zero, we find that a satisfactory procedure is to consider only the relatively few non-zero terms in one array, and a parallel array contains the row and column indices. After these arrays have been filled, a preliminary sorting based on indices allows a subsequent very fast multiplication.

Diagonalization of M *(via* a Householder routine) affords squares of the normal mode frequencies, since ω^2 **q** = **Mq**, and the six lowest modes (five for a linear molecule) have zero frequency, and correspond to the degrees of freedom of the free isolated molecule: they can consequently be omitted from our consideration.

The contribution of each internal mode to the U_i 's of every atom p are evaluated as: $U_{ij(p)} = q_i q_j$ $e(\omega)/m\omega^2$, where q_i and q_j are the components of the eigenvector corresponding to the ith and jth coordinate of the atom p , and the average energy of the mode $\varepsilon(\omega) = \hbar \omega \left(\frac{1}{2} + 1/\exp(\hbar \omega/kT - 1) \right)$.

From these expressions, the analogy between this treatment and the lattice-dynamical procedure is evident.

The internal mode contribution to the coupling tensors $U_{nn'}$, where p and p' are different atoms, can be obtained as for the U's, provided q_i and q_i are referred to p and p' , respectively. These tensors can be useful for correcting bond lengths for thermal motion (Scheringer, 1972c), albeit in practice their explicit use becomes useful in non-rigid bodies only. As for lattice vibrations, a check of the calculated frequencies with experimental data (if available) may be quite useful. For this purpose, the Raman- or infrared-active frequencies are always calculated. An appropriate labelling countersigns the symmetry of each mode: this labelling is automatically given by a proper routine (Filippini & Gramaccioli, 1982).

On the whole, the complete process involving calculation of internal and external modes is reasonably fast, employing about one minute of computing time on a UNIVAC 1100/80.

Application to hydrocarbons

For aromatic hydrocarbons, some harmonic force fields of general application are reported in the literature. Such force fields are especially reliable for calculating vibration modes in the molecular plane, where the assignment of fundamental frequencies is nowadays clear. The classical work by Neto, Scrocco & Califano (1966: here onwards NSC) gives an excellent agreement with the experimental data (see also Schettino, 1967; Schettino, Neto & Califano, 1966; Neto & Di Lauro, 1970); this force field has been used in all our calculations, with minor modifications (see below). More recently, Cyvin and his collaborators (Whitmer, Cyvin & Cyvin, 1978; Bakke, Cyvin, Whitmer, Cyvin, Gustavsen & Klaeboe, 1979; Cyvin *et al.,* 1979) give another in-plane force field involving a diagonal F matrix, and this approximation requires five independent parameters only. Although the agreement with experimental frequencies is somewhat less good than for the NSC field, these authors show that the mean amplitudes are nearly identical for the two fields. This is not surprising, in view of the extensive averaging over the various modes; moreover, considering the relatively limited accuracy of the experimental B's, where the contribution of lattice modes predominates over that of internal modes, this emphasizes the relatively little importance of fitting the internal-mode frequencies exactly, an approximate agreement being more than sufficient for most purposes involving temperature factors.

In the NSC force field, bending and stretching force constants are assigned taking account of the nature and the π order of the bond. The π order can be derived either from the experimental bond length, or, better, from considering the various Kekulé structures; a detailed analysis of these structures permits assignment of stretching-stretching force constants. In order to extend the NSC force field to all possible aromatic hydrocarbons, a separate polynomial interpolation for the reported constants relative to bonds in *ortho, meta,* and *para* positions with respect to each other has been made. Similarly, a rather elaborate way for reproducing the NSC bending-bending force constants correctly, based on bond geometry, has been introduced in the program. It can be noticed, however, that not all the reported values in Table 9 of NSC's work can be exactly reproduced on this basis (see, for instance, the corresponding stretching-stretching force constants for C-H bonds, which are 0.17 nN $\rm \AA^{-1}$ for benzene, and 0.68 nN \AA^{-1} for naphthalene and anthracene, or some apparently 'equivalent' bendingbending force constants $-$ at least from the geometric point of view – which are -0.45 and 1.11 nN $\rm \AA^{-1}$ for

naphthalene and anthracene, respectively). In these cases, although the program can provide for separate assignment of any (or any kind) of force constant, we have followed the 'automatic' assignment made by the program, which just considers the most common value.

For out-of-plane vibrations, the situation is more complex, since no force field which is as reliable as for in-plane vibrations has been reported in the literature. We first tried the Evans-Scully (1964) force field, which uses seven different constants, including outof-plane bendings and twistings and mixed terms (bending-bending, bending-twisting, twistingtwisting). This field gives a remarkably good agreement with the experimental data, at least if the reported assignment of the fundamental frequencies is correct. However, this force field did not meet our requirements: firstly, because of the considerable complexity in assigning the off-diagonal elements of the F matrix by a computer routine, and, secondly, there seems to be an incompatibility between the Evans-Scully treatment and Gwinn's procedure. This can be explained in view of the approximations involved in considering derivatives of twisting around the various π bonds.

A sufficiently approximate force field for out-ofplane vibrations can be obtained by considering all twistings, involving any possible set of four atoms around any $C(sp^2)$ - $C(sp^2)$ bond. Force constants of 0.9 nN \mathring{A}^{-1} were assigned to each twisting, and no off-diagonal term involving twisting was introduced in the F matrix. From Table 1, it can be seen that the agreement with the experimental frequencies (at least considering the most accepted assignment) is satisfactory, and comparable with the results afforded by harmonic force fields, which have recently been developed by other authors, such as Whitmer, Cyvin & Cyvin (1978).

For lattice vibrations, we used the Williams IVa functions (Williams, 1967). As we had noticed in our previous works, these functions are among the best for reproducing Raman- and infrared-active vibration frequencies, and temperature factors. Quite recently, these functions have been shown to be particularly valuable in reproducing the experimental dispersion curves of naphthalene (Natkaniec *et al.,* 1980; Pawley *et al.,* 1980). Some comments about the maximum packing distance D_{max} are necessary: in Pawley's works and in our first applications a value of 5.5 Å was considered; the same distance is apparently assumed in the work by Natkaniec *et al.,* concerning dispersion curves, which probably gives the most convincing evidence about the practical validity of these potential functions in reproducing lattice vibrations. Whereas for evaluation of several physical-chemical properties such a distance does not correspond to convergence to infinity, if a larger value for the maximum packing distance is assumed, the calculated values for the frequencies are somewhat too low with respect to **experiment, and the corresponding B's become too large, beyond a reasonable expectation due to some thermal diffuse scattering (TDS) contribution in lowering the observed values. For phenanthrene, if this larger** value for D_{max} is assumed, imaginary frequencies result **for a certain range of the wave vector q, a phenomenon** which does not happen if D_{max} is shorter. In a previous **work (Filippini & Gramaccioli, 1981), we had already** considered the possibility of including D_{max} as a **parameter in empirical calculations involving atomatom potentials. For all these reasons, and considering the arguments for this purpose given by Williams himself (Williams, 1967), we have chosen a value of** 5.5 Å for the maximum packing distance. When **extrapolation to infinity has to be considered, other potentials appropriate to the case should be derived.**

Diseussion

Results of our calculations for benzene (Bacon, Curry & Wilson, 1964), $d_{\mathbf{s}}$ -naphthalene (Pawley & Yeats, **1969), anthracene (Lonsdale & Milledge, 1961; Mason, 1964), phenanthrene (Kay, Okaya & Cox, 1971) and pyrene (Hazell, Larsen & Lehman, 1972) are shown in Table 2. In this table, the results including contribution of internal modes are compared with the corresponding ones involving contribution of lattice modes only,** *i.e.* **the usual rigid-body motion. For each compound, the** disagreement indices are given as: $R = 100 \sum_{i} |B_{i}^{j}(\text{obs}) B_{ij(\text{calc})}$ $\left(\sum_{i} |B_{ij(\text{obs})}\right]$, and $\Delta B = 100\sqrt{G}$ $\left(B_{ij(\text{obs})}\right)$ - $B_{ij(calc)}$ $\left(\sum B_{ij(obs)}\right)$. R gives the percentage disagree**ment between observed and calculated temperature** **factors, similar to that currently used for structure factors;** *AB* **shows a systematic excess (or deficiency) relative to the observed values with respect to the corresponding calculated data.**

The agreement between the experimental B's and the lattice dynamical results is, on the whole, satisfactory. In all these cases the effect of internal motion upon the B's is relatively limited, at least if the peripheral H atoms are not considered. The observed values of the B's are smaller than the corresponding calculated data: this is presumably due to the effect of thermal diffuse scattering (TDS) upon the experimental data, which were not corrected for this purpose (Willis & Pryor, 1975).

It might be tempting to scale the observed and calculated B's, the agreement obviously becomes much better $(R_1 = 20.7\%$ for benzene, 14.2% for **naphthalene and 20.2% for pyrene). However, the assumption of TDS to be isotropic is questionable, and anyway it does not seem wise to estimate a possible correction for such an effect in this manner.**

Reliable experimental values for temperature factors of hydrogen atoms are obtained by neutron diffraction only; among the substances here treated, three (benzene, $d_{\rm s}$ -naphthalene and pyrene) have been examined **so far by neutron diffraction. For benzene and naphthalene, there is a systematic disagreement between the observed and calculated B's of hydrogen (deuterium) atoms: this disagreement can hardly be ascribed to TDS, since it is considerably larger than for all the other cases here treated and for the carbon atoms in the same compounds. It seems that the**

Benzene			he -Naphthalene				$d_{\rm s}$ -Naphthalene			Anthracene			Pyrene				Phenanthrene			
	obs ^a	calc ^b	calc ^a		$_{\rm obs}$	calc ^b	calc ^d	$_{\text{obs}}$ ^e	calc ^b	calc ^f	obs [®]	calc ^b	calc ^h	obs ⁱ	calc ^b	calc ^t		obs ^j	calc ^b	calc [/]
B_{2g}	995 703	1067 607	996 703	B_{1r}	943 717	962	934	761	748	751	915	979 696	936 739	$\qquad \qquad -$	927 793	899 811	B	951 874	1045 978	962 923
E_{12}	849	801	850		386	676 371	702 312	547 348	504 338	528 316	$\qquad \qquad \blacksquare$ -	455	466	$\overline{}$ $\qquad \qquad -$	523	512		819	856	837
											243	248	235	263	268	251		735	797	810
				B_{2r}	980	1048	1305	$\overline{}$	846	812	978	1044	960	$\qquad \qquad -$	1034	963		713	692	733
					876 846	882 735	952 679	$\overline{}$ 649	759 626	754 665	904	931 838	909 871	971? 780?	996 856	947 764		494† 441†	674 479	702 498
					461	451	379	410	417	429	- 765	748	754	-	731	755		427	397	407
											622	535	617	530?	534	579		233	241	226
											290	288	321	-	464	469		$\overline{}$	116	103
	975	1010	977								954	992	952	227 963	282 1031	272 957	\boldsymbol{A}		1046	966
E_{2u}	405	371	402	B_{3u}	958 782	995 721	999 714	791 628	800 579	798 594	883	910	892	845	827	816		- $\overline{}$	1010	946
					476	446	429	402	387	382	730	692	732	748	726	753		928	979	922
					176	190	139	166	174	163	469	451	504	710	688	717		880	877	834
											$166*$	363 99	383 96	484 219	460 222	483 195		761 594	774 711	752 726
											110			126	121	113		513	538	601
A_{2u}	675	607	676	A_u	970	1041	1150	$\overline{}$	814	829	$\overline{}$	1042	966	$\overline{}$	1005	942		$\overline{}$	503	502
					841	827	776	$\qquad \qquad \blacksquare$	638	648	$\overline{}$	853	876	$\qquad \qquad \blacksquare$	915	897		352	401	384
					581	558	417	$\overline{}$	506	511	$\overline{}$	704	826	-	637	660		-	256	258
					195	206	185	193	190	185	$\overline{}$	482 144	552 137	319? 164	406 173	391 179		123	146	138

Table 1. *Observed and calculated out-of-plane internal frequencies* $(cm⁻¹)$

References: (a) Harada & Shimanouchi (1966); see also Taddei *et al.* (1973): (b) Present work; (c) Hagen & Cyvin (1968); (d) Whitmer *et al.* (1978); (e) Bree & Kydd
(1970); (/') Scully & Whiffen (1960); (g) Raman frequen

* **Other values, either observed or calculated in closer agreement with our calculated ones, are reported by Bree & Kydd** (1970).

 \dagger Values of 495 and 715 cm⁻¹, which fit better with our calculations, have been observed by Bree. Solven & Vilkos (1972).

internal contribution to these B's is too large; on the other hand, the calculated values for the frequencies are plausible, and this disagreement does not occur for pyrene.

A possible explanation can be given if the effect of crystal packing forces upon the molecule is considered. This effect has been calculated for naphthalene by Pawley & Cyvin (1970). As is shown in Table 1 of their work, these authors report a considerable increase of the lowest (out-of-plane) vibrational frequencies (up to 40 cm -1) on passing from the free state to the molecule packed in the crystal. Since the lowest modes are the most effective in contributing to the B's, this might explain the observed disagreement. For pyrene, instead, the internal (molecular) modes can be considerably

lower than for smaller molecules (see Table 1): in such a case, some mixing between the lowest internal modes and the highest lattice modes might occur, and no reason for having substantially higher frequencies than for the free molecule should result.

As we can see by comparing the lowest internal mode frequencies reported in Table 1 with the highest lattice mode frequencies reported in the literature [see, for instance, Table V in Filippini, Gramaccioli, Simonetta & Suffritti (1973), or Taddei, Bonadeo, Marzocchi & Califano (1973)], for benzene and naphthalene only no mixing between internal and external modes is strictly true; for all the other cases, owing to the larger size of the molecules, the lowest internal modes (generally out-of-plane) are of the same magnitude as

Table 2. *Experimental and calculated crystallographic temperature factors* $(\hat{A}^2 \times 10^4)$

First calculated values include internal mode contribution, second ones involve contribution of lattice modes only. They are in the form: $T_i = \exp \left[-(B_{11}h^2 + B_{22}k^2 + B_{33}l^2 + 2B_{12}hk + 2B_{13}hl + 2B_{23}kl)\right]$

the highest lattice vibration modes. The situation is somewhat contradictory with respect to our assumption of molecular rigidity, and although these effects are probably minor for carbon atoms, they are rather important for peripheral atoms, as we have seen. Especially if extension to larger molecules is claimed, a complete treatment involving mixing of internal and lattice modes is desirable, and effort is presently being made towards reaching a practical solution of this general case. Similarly, since thermal diffuse scattering is one of the most important sources of disagreement with experimental data, a practical way for correcting the collected intensities for this effect is being actively considered; from Table 2 it seems, in fact, that even a rough correction for TDS might lead to excellent agreement between theoretical and observed temperature factors. This seems to be especially important, if one considers that the necessary elastic coefficients can be easily obtained through these same latticedynamical calculations.

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The Observation of Molecular Orientations in Crystal Defects and the Growth Mechanism of Thin Phthalocyanine Films

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Abstract

The crystal imperfections in very thin films of metalphthalocyanines were observed by means of highresolution electron microscopy. It was revealed that the anisotropic molecular shape played an important role in the formation of the defect and grain boundaries. The quasi-amorphous state of phthalocyanine was found in which the existence of a linear crystal, a molecular column, was confirmed in the early stages of the formation of the three-dimensional crystal.

Introduction

The energy structure as well as the electrical and photo-conductive properties of molecular crystals cannot fully be interpreted without considering the local structures of the crystal, because the local lattice irregularities have the possibility to act as traps for electrons. Therefore, information about the local structure having certain irregularities, such as point, line, planar and bulk defects, is required for organic crystals by those who intend to develop organic

materials for practical use. The properties of such defects in molecular crystals fundamentally differ from those in covalent or metallic crystals because of the anisotropy of intermolecular forces and of the shapes of the molecules. The differences also cause various peculiarities in growth mechanisms of the crystal. Investigations of defects in organic crystals have been carried out by Williams, Thomas, Williams & Hobbs (1975) on the basis of diffraction contrast in electron micrographs and by Fryer (1977) by the use of molecular imaging. In the present investigation, not only the defect structures but also the growth mechanisms of phthalocyanine crystals have been revealed through a direct observation of molecular images by means of high-resolution electron microscopy with the aid of an automatic minimum-dose system, which has been developed by the present authors (Fujiyoshi, Kobayashi, Ishizuka, Uyeda, Ishida & Harada, 1980) in order to avoid the deterioration of the images due to the radiation damage of the crystal. In the section discussing the growth mechanism, a quasi-amorphous or precrystalline state will be shown as the early stage of the crystal growth.

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